

J1003 U.S. PTO

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Approved for use through 09/30/2000 OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No. 503.38383CX1
		First Inventor or Application Identifier K. IDE, et al.
		Title See Addendum
		Express Mail Label No. 100-123456789

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 47] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 11]</p> <p>4. Oath or Declaration [Total Pages 2]</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p>		<p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
ACCOMPANYING APPLICATION PARTS		
<p>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 <input type="checkbox"/> Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <ul style="list-style-type: none"> • Small Entity <input type="checkbox"/> Statement(s) <input type="checkbox"/> Statement filed in prior application (PTO/SB/09-12) 13. <input type="checkbox"/> Status still proper and desired 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Other: _____ 		

***NOTE FOR ITEMS 1, 6, 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.17), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: **09 / 509,768**

Prior application information: Examiner _____

For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	020457	or <input type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)		
Name		
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City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	Melvin Kraus	Registration No. (Attorney/Agent)	22,466
Signature	<i>Melvin Kraus</i>		
	Date	July 26, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Attachment to PTO/SB/05 (4/98)
Utility Patent Application Transmittal

1. ROTATING ELECTRIC MACHINE AND COOLING METHOD THEREOF

100-202-200-000

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710.00)

Complete if Known

Application Number	
Filing Date	July 26, 2001
First Named Inventor	K. IDE, et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	503.38383CX1

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	01-2135
Deposit Account Name	

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101 710	201 355	Utility filing fee			710.00
106 320	206 160	Design filing fee			
107 490	207 245	Plant filing fee			
108 710	208 355	Reissue filing fee			
114 150	214 75	Provisional filing fee			

SUBTOTAL (1) (\$ 710.00)

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	6	.20** =	0 X 18 =	
Independent Claims	2	.3** =	0 X 80 =	
Multiple Dependent			270 =	

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	9	Claims in excess of 20
102 80	202 40	40	Independent claims in excess of 3
104 270	204 135	135	Multiple dependent claim, if not paid
109 80	209 40	40	** Reissue independent claims over original patent
110 18	210 9	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

*Or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

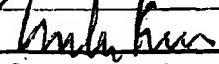
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	65	Surcharge - late filing fee or oath		
127 50	227 25	25	Surcharge - late provisional filing fee or cover sheet		
139 130	139 130	130	Non-English specification		
147 2,520	147 2,520	2,520	For filing a request for ex parte reexamination		
112 920*	112 920*	920*	Requesting publication of SIR prior to Examiner action		
113 1,840*	113 1,840*	1,840*	Requesting publication of SIR after Examiner action		
115 110	215 55	55	Extension for reply within first month		
116 390	216 195	195	Extension for reply within second month		
117 890	217 445	445	Extension for reply within third month		
118 1,390	218 695	695	Extension for reply within fourth month		
128 1,890	228 945	945	Extension for reply within fifth month		
119 310	219 155	155	Notice of Appeal		
120 310	220 155	155	Filing a brief in support of an appeal		
121 270	221 135	135	Request for oral hearing		
138 1,510	138 1,510	1,510	Petition to institute a public use proceeding		
140 110	240 55	55	Petition to revive - unavoidable		
141 1,240	241 620	620	Petition to revive - unintentional		
142 1,240	242 620	620	Utility issue fee (or reissue)		
143 440	243 220	220	Design issue fee		
144 600	244 300	300	Plant issue fee		
122 130	122 130	130	Petitions to the Commissioner		
123 50	123 50	50	Petitions related to provisional applications		
126 240	126 240	240	Submission of Information Disclosure Stmt		
581 40	581 40	40	Recording each patent assignment per property (times number of properties)		
146 710	246 355	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
149 710	249 355	355	For each additional invention to be examined (37 CFR § 1.129(b))		
179 710	279 355	355	Request for Continued Examination (RCE)		
169 900	169 900	900	Request for expedited examination of a design application		

Other fee (specify) _____

Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Marvin Krans	Registration No. (Attorney/Agent)	22,466	Telephone	(703) 312-6600
Signature		Date	July 26, 2001		

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